

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ball, et al

Serial No:

10/644456

Examiner: To Be Assigned

Filed:

8/20/2003

Group Art Unit: 1731

For:

METHOD AND FEEDSTOCK FOR MAKING PHOTOMASK MATERIAL

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98

Commissioner of Patents Alexandria, VA 22313-1450

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. A copy of each of the reference(s) is enclosed unless it was previously submitted in related U.S. Application No. 09/920,257, filed August 1, 2001.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

The undersigned attorney is granted limited recognition by the Office of Discipline and Enrollment of the USPTO to practice before the USPTO in capacity as an employee of Corning Incorporated. A copy of the document granting such limited recognition either has been previously submitted or is submitted herewith for the record.

Please direct any questions or comments to the undersigned at (607) 248-1253.

Respectfully submitted,

Siwen Chen

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Date: February 23, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

Date of Deposit

Signature: Colleen F. Doherty



FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

SP01-192A

10/644456

APPLICANT Ball, et al.

FILING DATE 8/20/2003

GROUP: 1731

				FILING DATE 8/20/2003		GROUP: 17.	31
REFERE	ENCE D	ESIGNATION	U	S. PATENT DOCUMENT	rs .		
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp
	AA	Re. 30,883	3/16/82	Rau et al.	65	60.51	
	AB	4,162,908	7/31/79	Rau et al.	65	60	
	AC	4,367,013	1/4/83	Guerder et al.	350	96.34	
	AD	4,689,212	8/25/87	Mansfield	427	34	
	AE	5,000,771	3/19/91	Fleming, Jr. et	65	2	
	AF	5,194,714	3/16/93	LeSergent	219	121.360	
	AG	5,256,855	10/26/93	Heanley et al.	219	121.59	
	AH	5,376,767	12/27/94	Heanley et al.	219	121.36	
	AI	5,552,007	9/3/96	Rajala et al.	156	164	
	AJ	5,676,863	10/14/97	Jouvenel et al.	219	121.52	
	AK	5,720,909	2/24/98	Campion et al.	264	15	
	AL	5,850,497	12/15/98	Fleming et al.	385	123	
	AM	5,888,587	3/30/99	Campion	427	163.2	
	AN	5,970,750	10/26/99	Humbert et al.	65	391	
	AO	5,979,170	11/9/99	Thorpe	62	271	
	AP	6,007,786	12/28/99	Campion et al.	422	189	
	AQ	6,047,568	4/11/00	Campion	65	395	
	AR	6,071,487	6/6/00	Campion et al.	423	338	
	AS	6,131,415	10/17/00	Chang et al.	65	391	
	AT	6,178,779	1/30/01	Drouart et al.	65	391	
	AU	6,202,447	3/20/01	Drouart et al.	65	377	
	AV	6,215,092	4/10/01	Goudeau et al.	219	121.59	
	AW	6,253,580	7/3/01	Gouskov et al.	65	391	
	AX	2001/0001366	5/24/01	Drouart et al.	65	397	
	AY	4,863,501	9/5/89	Mansfield	65	3.11	
	AZ	4,011,006	3/8/77	Fleming, Jr. et al.	350	96	
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**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS	SP01-192A	10/644,456
FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	APPLICANT Ball et al.	
	FILING DATE August 20, 2003	GROUP: 1731

### FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No
 A2	271,281B1	8/5/92	EPO	C03B	37/016	X	
 A3	719,738B1	4/25/01	EPO	C03B	37/012		X
 A4	838,439A1	4/29/98	EPO	C03B	37/012		X
 A5	808,799B1	7/19/00	EPO	C01B	33/18		X
 A6	891,946B1	1/24/01	EPO	C01B	33/18		X
 A7	WO 00/75084	12/14/00	PCT	C03B	37/012	X	
 Ä8	WO 99/32412	7/1/99	PCT	C03B	014	X	
A9	WO 99/52832	10/21/99	PCT	C03B	23/047	X	
A10	WO 01/16039	3/8/01	PCT	C03B	37/014	X	
A11							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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## **EXAMINER:**

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